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PATENT

File No. 12860-E CIP

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of
Y Wang et al.

Serial No. 10/036,332
Filed: 12/24/2001

For: CARBON NANOTUBE-CONTAINING
STRUCTURES, METHODS OF
MAKING, AND PROCESSES USING
SAME

)
)
) Art Unit: 1754

)
) Examiner:

)
)
) Our Ref. No: 12860-E CIP

) Date: March 13, 2002

INFORMATION DISCLOSURE STATEMENT

BOX PATENT APPLICATION
Commissioner for Patents
Washington, DC 20231

Dear Sir:

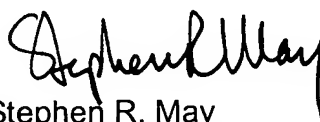
Pursuant to the duty of disclosure under 37 CFR §§ 1.56, 1.97, and 1.98, the documents listed on the attached Form(s) PTO-1499 are being brought to the attention of the Examiner in charge of the above-identified application.

The Examiner is respectfully requested to initial the space adjacent each document entry on the Form(s) PTO-1449, and to return a copy of the initialed Form(s) PTO-1449 to confirm that the documents have been considered and have been officially make of record in this application.

If the Examiner has any questions or wishes to discuss this application, the Examiner is invited to telephone the undersigned representative at the number set forth below. This IDS is either being submitted within the 3 month deadline or prior to the 1st

Office Action, therefore we don't believe there are any fees required. However, if any fees are required for consideration of this paper are hereby authorized to be charged to our Deposit Account No. 02-1275.

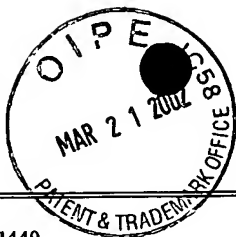
Respectfully submitted,

A handwritten signature in black ink, appearing to read "Stephen R. May". The signature is fluid and cursive, with the first name "Stephen" and last name "May" being clearly distinguishable.

Stephen R. May
Reg. No. 29,255

Stephen R. May (K1-53)
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Form PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		DOCKET NO.: 12860-E CIP		SERIAL NO.: 10/036,332		
LIST OF ART CITED BY APPLICANT (Use several sheets if necessary)				APPLICANT: Y Wang et al.				
				FILING DATE: 12/24/2001		GROUP: 1754		
U.S. PATENT DOCUMENTS								
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate	
	A	5,366,719	12/22/1994	van Wingerden et al.	423	659		
	B	5,645,891	07/08/1997	Liu et al.	427	376.2		
	C	6,099,965	08/08/2000	Tennent et al.	428	408		
	D	6,129,901	10/10/2000	Moskovits et al.	423	447.3		
FOREIGN PATENT DOCUMENTS								
		Document Number	Date	Country	Class	Subclass	Translation	
							Yes	No
	E	WO 01/12312	02/22/2001	PCT	19	00	X	
OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, Etc.)								
	F	Ago, H., et al. "Dispersion of Metal Nanoparticles for Aligned Carbon Nanotube Arrays." Pg 79-81. 2000.						
	G	Burghard, M., et al. "Assembling Techniques for Micellar Dispersed Carbon Single-Walled Nanotubes." Pg. 44-49. 1998.						
	H	Gao, Y., et al. "Carbon Nanotubes on a Substrate and Method of Making." (PNNL Application for E-1743) Pg. 1-19. 1999.						
	I	Xie, SS., et al. "Synthesis and Characterization of Aligned Carbon Nanotube Arrays." Pg. 1135-1138. 1999.						
EXAMINER				DATE CONSIDERED				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								